#### ANIRUDH KRISHNA

Edgar T. Thompson Professor of Public Policy and Political Science
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#### **Positions Held**

### Academic Positions

July 2014 – current: Edgar T. Thompson Professor of Public Policy and Professor of Political Science, Duke University

July 2011 – June 2014: Professor of Public Policy and Political Science, Duke University

July 2007 – June 2011: Associate Professor of Public Policy and Political Science, Duke University

September 2007 – June 2008: Olaf Palme Visiting Professor, Uppsala University, Sweden

July 2000 – June 2007: Assistant Professor of Public Policy and Political Science, Duke University

#### Administrative Positions

July 2010 – July 2015: Associate Dean for International Academic Programs, Sanford School of Public Policy, Duke University

1982 – 1996: Member of the Indian Administrative Service

#### Education

Ph.D. in Government, Cornell University, 2000

("Social Capital, Collective Action, and the State: Understanding Economic Development, Community Peace, and Democratic Governance in Rural North India.")

M.P.S. in International Development, Cornell University, 1995

Master's in Economics, Delhi School of Economics, 1980

B.A. (Honors) Economics, St. Stephens College, Delhi University, India, 1978

### **Publications**

#### **Books**

Vegard Iversen, Anirudh Krishna, and Kunal Sen (eds). 2021. Social Mobility in Developing Countries: Concepts, Methods, and Determinants. New York: Oxford University Press.

Anirudh Krishna. 2017. *The Broken Ladder: The Paradox and Potential of India's One-Billion*. Penguin Random House (India) and Cambridge University Press (New York)

Winner of the A.K. Coomaraswamy Award 2019 of the Association for Asian Studies;
 Shortlisted for the inaugural Kamaladevi Chattopadhyay NIF Book Prize for the best non-fiction book on contemporary India

Anirudh Krishna. 2010. One Illness Away: Why People Become Poor and How they Escape Poverty. Oxford, UK: Oxford University Press.

Anirudh Krishna, ed. 2008. Poverty, Participation and Democracy: A Global Perspective. New York: Cambridge University Press.

Anirudh Krishna. 2002. Active Social Capital: Tracing the Roots of Development and Democracy. New York: Columbia University Press.

Anirudh Krishna, ed. 2000. Changing Policy and Practice From Below: Community Experiences in Poverty Reduction. New York: United Nations Development Programme.

Norman Uphoff, Milton J. Esman and Anirudh Krishna. 1998. Reasons for Success: Learning from Instructive Experiences in Rural Development. West Hartford, CT: Kumarian Press. (South Asian edition by Sage Publications, New Delhi).

Anirudh Krishna, Norman Uphoff and Milton J. Esman, eds. 1997. Reasons for Hope: Instructive Experiences in Rural Development. West Hartford, CT: Kumarian Press. (South Asian edition by Sage Publications, New Delhi).

# Articles in Refereed Journals

Anirudh Krishna and Sekhar Bonu. 2023. "Uneven Gains and Bottom-50 Districts: Intergenerational Education Mobility in India." *Economic and Political Weekly*, 58 (42): 34-45.

Anirudh Krishna and Sujeet Kumar. 2023. "Why Don't Poorer Kids Move Ahead Faster? Considering Poverty of Opportunity in Bihar and Delhi." *Economic and Political Weekly*, 58 (19): 35-40.

Anirudh Krishna and Tushar Agarwal. 2023. "The impact of Covid-19 on household poverty: Examining impacts and resilience in a 40-year timeframe in rural Rajasthan (India)." Oxford Development Studies, DOI: 10.1080/13600818.2023.2190087

Anirudh Krishna, Sujeet Kumar, and Emily Rains. 2023. "A Range of Informality Across Cities and Slums: Understanding Precarity in Patna's Slums Before and During the COVID-19 Pandemic." *Journal of South Asian Development* 1–21. DOI: 10.1177/09731741231155705

Anirudh Krishna and Rajesh Shukla. 2023. "Tracing the Geographies of Inequality in India: Beneath the Urban-Rural Divide." *Economic and Political Weekly* 58 (9): 57-64.

Harlan Downs-Tepper, Anirudh Krishna, and Emily Rains. 2021. "A Threat to Life and Livelihoods: Examining the Effects of the First Wave of Covid-19 on Health and Wellbeing in Bengaluru and Patna Slums." *Environment and Urbanization*, 34 (1): 190-208.

Emily Rains and Anirudh Krishna. 2020. "Precarious Gains: Social Mobility and Volatility in Urban Slums." *World Development*, 132: 1-13 (lead article).

Juan Fernando Tellez, Erik Wibbels, and Anirudh Krishna. 2020. "Local Order, Policing and Bribes: Evidence from India. *World Politics*, 72 (3): 377-410.

Anirudh Krishna, Emily Rains, and Erik Wibbels. 2020. "Between Zero and One: a continuum of property rights in slums of Bangalore." *Journal of Development Studies*, 56 (11): 1983-1999.

Vegard Iversen, Anirudh Krishna, and Kunal Sen. 2019. "Beyond Poverty Escapes: Social Mobility in the Global South: A Review Article." World Bank Research Observer, 24 (2): 239-73.

Anirudh Krishna and Sarah Nolan. 2019. "Synthetic Fathers and Real Consequences: Social Mobility Research in Transition." *Journal of Development Studies*, 55 (4).

Anirudh Krishna and Tushar Agarwal. 2019. "Food Subsidy – Cash or Kind? The Wrong Debate." *Economic and Political Weekly*, August 10.

Emily Rains, Anirudh Krishna and Erik Wibbels. 2019. "Combining Satellite and Survey Data to Study Indian Slums: Evidence on the Range of Conditions and Implications for Urban Policy." *Environment and Urbanization*, 31 (1): 267-92.

Emily Smith, Tessa Concepcion, Mubarak Mohamed, Shugri Dahir, Edna Ismael, Henry Rice and Anirudh Krishna. 2019. "The Contribution of Pediatric Surgery to Poverty Trajectories in Somaliland." *PLOS One*. https://doi.org/10.1371/journal.pone.0219974

Anirudh Krishna and Swapnil Agarwal. 2017. "Promoting Social Mobility in India: Modes of Action and Types of Support Organizations." *Journal of South Asian Development*, 12 (3): 1-23.

Vegard Iversen, Anirudh Krishna, and Kunal Sen. 2017. "Rags to riches? Intergenerational occupational mobility in India." *Economic and Political Weekly*, 52 (44), November.

Anirudh Krishna and Devendra Bajpai. 2015. "Layers in Globalization and the New Middle Class in India: Trends, Distribution, and Prospects." *Economic and Political Weekly*, 50 (5), January.

Anirudh Krishna, M.S. Sriram, and Purnima Prakash. 2014. "Slum Types and Adaptation Strategies: Identifying Policy-Relevant Differences in Bangalore." *Environment and Urbanization*, 26 (2): 568-85.

Anirudh Krishna and Gregory Schober. 2014. "The Gradient of Governance: Distance and Disenchantment in Rural India." *Journal of Development Studies*, 50 (6): 820-38.

Anirudh Krishna. 2014. "Examining the Structures of Opportunity and Social Mobility in India: Who Becomes an Engineer?" *Development and Change*, 45 (1): 1-28, lead article.

Anirudh Krishna. 2013. "Making it in India: Examining Social Mobility in Three Walks of Life." *Economic and Political Weekly*, 48, December 7, pp. 38-49.

Anirudh Krishna. 2013. "Stuck in Place: Investigating Social Mobility in 14 Bangalore Slums." *Journal of Development Studies*, 49 (7): 1010-28. (Received the Dudley Seers Memorial Prize)

Anirudh Krishna. 2012. "Characteristics and Patterns of Intergenerational Poverty Traps and Escapes in Rural North India." *Development Policy Review*, 30 (5): 617-40.

Anirudh Krishna, Meri Poghosyan, and Narayan Das. 2012 "How Much Can Asset Transfers Help the Poorest? Evaluating the Results of BRAC's Ultra-Poor Programme (2002-2008)." *Journal of Development Studies*, 48 (2): 184–97.

Anirudh Krishna. 2011. "Gaining Access to Public Services and the Democratic State in India: Institutions in the Middle." *Studies in Comparative International Development*, 46 (1): 98-117.

Anirudh Krishna and Abusaleh Shariff. 2011. "The Irrelevance of National Strategies? Rural Poverty Dynamics in States and Regions of India, 1993-2005." World Development, 39 (4): 533-49.

Anirudh Krishna and Devendra Bajpai. 2011. "Lineal Spread and Radial Dissipation: Experiencing Growth in Rural India, 1993-2005." *Economic and Political Weekly*, 46, September 17, pp. 44-51.

Anirudh Krishna. 2010. "Continuity and Change: The Indian Administrative Service 30 years ago and today." *Commonwealth and Comparative Politics*, 48 (4): 433-44.

Patricia Kristjanson, Anirudh Krishna, Nelson Mango, Nancy Johnson, and Maren Radeny. 2010. "Understanding Poverty Dynamics in Kenya." *Journal of International Development*, 22 (7): 978-96.

Anirudh Krishna. 2010. "Who Became Poor, Who Escaped Poverty, and Why? Developing and Using a Retrospective Methodology in Five Countries." *Journal of Policy Analysis and Management*, 29 (2): 351-72.

Anirudh Krishna. 2009. "Why Don't 'the Poor' Make Common Cause? The Importance of Subgroups." *Journal of Development Studies*, 45 (6): 1-19.

Anirudh Krishna and Jesse Lecy. 2008. "The Balance of All Things: Explaining Household Poverty Dynamics in 50 Villages of Gujarat, India" *International Journal of Multiple Research Methods*, 2 (2): 160-75.

Anirudh Krishna and Jan Nederveen Pieterse. 2008. "Hierarchical Integration: The Dollar Economy and the Rupee Economy." *Development and Change*, 39 (2): 219-37.

Anirudh Krishna. 2007. "How Does Social Capital Grow? A Seven-Year Study of Villages in India." *Journal of Politics*, 69 (4): 941-56.

Kristjanson, Patricia, Krishna, Anirudh, Radeny, Maren, Kuan, Judith, Quilca, Gustavo, Sanchez-Urrelo, Alicia, and Leon-Velarde, C. 2007. "Poverty Dynamics and the Role of Livestock in the Peruvian Andes." *Agricultural Systems*, (94), 294-308.

Anirudh Krishna. 2007. "For Reducing Poverty Faster: Target Reasons before People." World Development, 35 (11): 1947-60.

Anirudh Krishna, Patricia Kristjanson, Judith Kuan, Gustavo Quilca, Maren Radeny, and Alicia Sanchez-Urrelo. 2006. "Fixing the Hole in the Bucket: Household Poverty Dynamics in Forty Communities of the Peruvian Andes." *Development and Change*, 37 (5), 997-1021.

Anirudh Krishna. 2006. "Poverty and Democratic Participation Reconsidered: Evidence from the Local Level in India." *Comparative Politics*, 38 (4), 439-58.

Anirudh Krishna. 2006. "Pathways Out of and Into Poverty in 36 Villages of Andhra Pradesh, India." *World Development*, 34 (2), 271-88.

Anirudh Krishna, Daniel Lumonya, Milissa Markiewicz, Firminus Mugumya, Agatha Kafuko, and Jonah Wegoye. 2006. "Escaping Poverty and Becoming Poor in 36 Villages of Central and Western Uganda." *Journal of Development Studies*, 42 (2), 346-70.

Anirudh Krishna, Mahesh Kapila, Mahendra Porwal, and Veerpal Singh. 2005. "Why Growth is Not Enough: Household Poverty Dynamics in Northeast Gujarat, India." *Journal of Development Studies*, 41 (7), 1163-92. (Received the Dudley Seers Memorial Prize)

Anirudh Krishna. 2004. "Understanding, Measuring and Utilizing Social Capital: Clarifying Concepts and Presenting a Field Application from India." *Agricultural Systems*, 82, 291-305.

Norman Uphoff and Anirudh Krishna. 2004. "Civil Society and Public Sector Institutions: More than a Zero-Sum Relationship." *Public Administration and Development*, 24, 357-72.

Anirudh Krishna, Patricia Kristjanson, Maren Radeny, and Wilson Nindo. 2004. "Escaping Poverty and Becoming Poor in Twenty Kenyan Villages." *Journal of Human Development*, 5 (2), 211-26.

Anirudh Krishna. 2004. "Escaping Poverty and Becoming Poor: Who Gains, Who Loses, and Why? People's Assessments of Stability and Change in 35 North Indian Villages." *World Development* 32 (1), 121-36.

Anirudh Krishna. 2003. "What is Happening to Caste? A View from Some North Indian Villages." *Journal of Asian Studies*, 62 (4), 1171-93.

Anirudh Krishna. 2003. "Partnerships between Local Governments and Community-Based Organizations: Exploring the Scope for Synergy." *Public Administration and Development*, 23 (4), 361-71.

Anirudh Krishna. 2002. "Enhancing Political Participation in Democracies: What is the Role of Social Capital?" *Comparative Political Studies*, 35 (4), 437-60. (Received Best Article Award, American Political Science Association, Comparative Democratization, 2003.)

Anirudh Krishna. 2001. "Moving from the Stock of Social Capital to the Flow of Benefits: The Role of Agency." *World Development*, 29 (6), 925-43, lead article.

## Works in the pipeline

Anirudh Krishna. Talent Ladders: Excellence and Opportunity. Book manuscript.

Anirudh Krishna, Daimon Kambewa, Frank Tchuwa, Frank Kasonga, and Patrick Higdon. "What do communities feel about community-driven development? Learning from an investigation in rural Malawi." Submitted for publication.

Anirudh Krishna and Dirk Philipsen. "Poverty or Abundance?" Submitted for publication.

Sujeet Kumar and Anirudh Krishna. "Does the provision of opportunities for personal development improve as human development grows? Results from four states in India. Research completed; paper under preparation.

### Other published articles

Anirudh Krishna. "Talent Ladders – an agenda for development in the 21<sup>st</sup> century." Paper prepared for the United Nations' World Social Report 2024.

Anirudh Krishna and Sujeet Kumar. 2023. "Poverty of circumstances and poverty of opportunity: An exploration in rural and urban India." <u>Working Paper</u>. Centre for Policy Research, New Delhi.

Anirudh Krishna and Emily Rains. 2021. "Informalities, volatility, and precarious social mobility in urban slums," Chapter 14 in Iversen, Krishna and Sen (eds.), *Social Mobility in Developing Countries*, Oxford University Press.

Vegard Iversen, Anirudh Krishna, and Kunal Sen. 2021. "The state of knowledge about social mobility in the developing world," Chapter 1, and "Social mobility in developing countries: directions for research practice, knowledge gaps and policy support," Chapter 18

in Iversen, Krishna and Sen (eds.), *Social Mobility in Developing Countries*, Oxford University Press.

Anirudh Krishna. 2020. "The Poorest after the Pandemic." Current History, November.

Anirudh Krishna. 2020. "Inequality, Social Mobility, and Career Ladders." Background paper for *Human Development Report 2020*, United Nations Development Programme. Available at hdr2020backgroundpaperkrishnapdf.pdf

Anirudh Krishna. 2019. "Who Sets the Goalposts? Evaluating Progress in Poverty Reduction." In Niraja Jayal, ed., Re-forming India. New Delhi: Penguin Random House.

Anirudh Krishna. 2019. "Social Mobility in India and the Way Forward." *Current History*, March.

Anirudh Krishna. 2019. "Slums in India – Facts and Misconceptions." Yojana, December.

Anirudh Krishna. 2018. "Globalized Growth in Largely Agrarian Contexts: The Rural-Urban Divide." *ESID Working Paper No. 101*. Global Development Institute, University of Manchester, UK.

Anirudh Krishna. 2018. "Missing Links in the Institutional Chain." In Nicolas Van de Walle and Carol Lancaster, eds., Oxford Handbook on the Politics of Development.

Anirudh Krishna and Tushar Agarwal. 2018. "Food Subsidy – PDS, Cash or Both?" *Ideas for India*, October.

Anirudh Krishna. 2018. "Alternatives to Caste-based Channels of Influence." In Surinder Jodhka and James Manor, eds., Waning Hierarchies, Persisting Inequalities: Caste and Power in 21st Century India. Orient Blackswan.

Anirudh Krishna. 2018. "Rural-Urban Inequality and Poverty." In Gilberto Antonelli and Boike Rehbein, eds., *Inequality in Economics and Sociology: New Perspectives.* Routledge.

Vegard Iversen, Anirudh Krishna, and Kunal Sen. 2017. "Beyond Poverty Escapes – Social Mobility in the Global South: A Survey Article." *Global Development Institute Working Paper* 2017-017, University of Manchester, UK.

Anirudh Krishna. 2017. "Demonetization in India: One More Rock in the River." *Current History*, April.

Anirudh Krishna. 2016. "The Dynamics of Poverty." In David Brady and Linda Burton, eds., Oxford Handbook of the Social Science of Poverty.

Vegard Iversen, Anirudh Krishna, and Kunal Sen. 2016. "Rags to riches? Intergenerational occupational mobility in India." *Global Development Institute Working Paper* 2016-004. University of Manchester, UK.

Anirudh Krishna and MS Sriram. 2016. "Slum Types and Adaptation Strategies." In Manoj Roy, David Hulme and Michaela Hordijk, eds., *Urban Poverty and Climate Change: Lived Experiences of Slum Dwelling in Asia, Africa and Latin America*, Routledge.

Anirudh Krishna. 2015. "The Urban-Rural Gap and the Dilemma of Governance." *Current History,* November.

Anirudh Krishna. 2014. "The *Naya Netas:* Informal Mediators of Government Services in Rural North India." In Melanie Cammett and Lauren Maclean, eds., *Politics of Non-State Social Welfare*, Cornell University Press.

Anirudh Krishna. 2014. "A Way out of Slums in India." *East Asia Forum,* available at http://www.eastasiaforum.org/2014/04/25/a-way-out-of-the-slums-in-india/

Anirudh Krishna. 2014. "Fixing Poverty v. Fixing the Poverty Line." In *Debating Poverty*, a publication of eSocialSciences, available at

http://www.esocialsciences.org/eSS\_essay/Debating%20Poverty.pdf

Anirudh Krishna. 2013. "The Mixed News on Poverty." *Current History*, January (by invitation). Later summarized in the *Wilson Quarterly*, Spring 2013.

Anirudh Krishna. 2013. "The Spatial Dimension of Inter-Generational Education Achievement in Rural India." *Indian Journal of Human Development*, 6 (2): 245-66.

Anirudh Krishna and Kripa Ananthpur. 2013. "Distance and Diseases: Spatial Health Disparities in Rural India." *Millennial Asia*, Inaugural Sage Issue, March (by invitation).

Anirudh Krishna. 2012. "The Resurgence of Poverty" (September 9) and "The Root of Poverty: Ruinous Health Care Costs" (October 26) at the online portal, *Ideas for India* (www.ideasforindia.in)

Anirudh Krishna. 2012. "Subjective and Objective Measures of Poverty: Utility and Pitfalls." *KfW-Development Research*, No. 3, March 28.

Anirudh Krishna. 2012. "Seasonal Livelihoods." In Stephen Devereaux, Rachel Sabates-Wheeler, and Richard Longhurst, eds., *Seasonality, Rural Livelihoods, and Development*. London, UK: Earthscan.

Anirudh Krishna. 2011. "Poverty Knowledge and Poverty Action in India." In Akhil Gupta and K. Sivaramakrishnan, eds., *The State in India after Liberalization: Inter-disciplinary Perspectives.* New York: Routledge

Anirudh Krishna. 2010. "Dealing with a Distant State: The Evolving Nature of Local Politics in India." In Niraja Gopal Jayal and Pratap Bhanu Mehta, eds., Oxford Companion to Politics in India. Oxford, UK: Oxford University Press.

Anirudh Krishna. 2009. "Subjective Assessments, Participatory Methods and Poverty Dynamics: The Stages of Progress Method." In Tony Addison, David Hulme, and Ravi Kanbur, eds., *Poverty Dynamics: Interdisciplinary Perspectives*. Oxford, UK: Oxford University Press.

Anirudh Krishna. 2009. "Are More People Becoming Vulnerable to Poverty? Evidence from Grassroots Investigations in Five Countries." In Boike Rehbein and Jan Nederveen Pieterse, eds., *Globalization and Emerging Societies*. New York: Palgrave Macmillan.

Anirudh Krishna. 2009. "The Dynamics of Poverty: Why Don't "the Poor" Act Collectively?" In Joachim von Braun, Ruth Vargas-Hill, and Rajul Pandya-Lorch, eds., *The Poorest and Hungry: Assessments, Analyses, and Actions.* Washington, DC: International Food Policy Research Institute.

Kripa Ananthpur and Anirudh Krishna. 2009. "Formal Perceptions of Informal Justice." In Amita Singh and Nasir Aslam Zahid, eds., *Strengthening Governance through Access to Justice*. New Delhi: PHI Learning Pvt Ltd.

Anirudh Krishna. 2008. "Introduction: Poor People and Democracy." In Anirudh Krishna, ed., *Poverty, Participation and Democracy: A Global Perspective*, Cambridge University Press.

Anirudh Krishna. 2008. "Do Poor People Care Less for Democracy? Testing Individual-Level Assumptions with Individual-Level Data from India." In Anirudh Krishna, ed., *Poverty, Participation and Democracy: A Global Perspective*, Cambridge University Press.

Anirudh Krishna and J.A. Booth. 2008. "Conclusion: Implications for Policy and Research." In Anirudh Krishna, ed., *Poverty, Participation and Democracy: A Global Perspective*, Cambridge University Press.

Anirudh Krishna. 2008. "Social Capital and Economic Development." In Dario Castiglione, Jan van Deth and Guglielmo Wolleb, eds., *Handbook of Social Capital*, Oxford University Press.

Anirudh Krishna and Eric Haglund. 2008. "Why do Some Countries Win More Olympic Medals? Lessons for Social Mobility and Poverty Reduction."." *Economic and Political Weekly* 43: 143-51.

Anirudh Krishna. 2007. "Poverty and Health: Defeating Poverty by Reducing Its Creation." *Development*, 50 (2), 50th Anniversary Special Issue, 63-69.

Anirudh Krishna. 2007. "The Dynamics of Poverty: Why Don't "the Poor" Act Collectively?" 2020 Focus Brief on the World's Poor and Hungry People. Washington, DC: IFPRI

Anirudh Krishna. 2007. "Poverty Knowledge and Poverty Action in India." In Deepa Narayan and Patti Petesch, eds., *Unleashing the Economic and Social Mobility of the Poor*, Palgrave McMillan.

Anirudh Krishna. 2007. "Politics in the Middle." In Herbert Kitschelt and Steven Wilkinson, eds., *Patrons, Clients, and Politics*. Cambridge, UK: Cambridge University Press.

Anirudh Krishna. 2007. "The Conundrum of Services: Why Services are Crucial for Making Service Provision Better." In Shantayanan Devarajan and Ingrid Widlund, eds., *The Politics of Service Delivery in Democracies: Better Access for the Poor.* Stockholm: Ministry of Foreign Affairs.

Anirudh Krishna. 2007. "The Stages-of-Progress Methodology and Results from Five Countries." In Carolina Moser, ed., Reducing Global Poverty: The Case for Asset Accumulation. Washington, DC: Brookings Institution Press.

Anirudh Krishna. 2007. "Mobilizing Social Capital: Community Responses to Globalization." In Dennis Rondinelli and John Hefron, eds., *Globalization and Change in Asia*. Boulder: Lynne Rienner. Publishers.

Anirudh Krishna. 2006. "Reversal of Fortune: Why Preventing Poverty Beats Curing it." Foreign Policy, May/June.

Anirudh Krishna and Vijay Brihmadesam. 2006. "What Does it Take to Become a Software Engineer? Educated Parents, Information Networks, and Upward Mobility in India." *Economic and Political Weekly*, Bombay, India, July 29.

Anirudh Krishna. 2005. "Are Villagers Ready for Decentralization?" In L.C. Jain, ed., Decentralization and Local Governance. New Delhi: Orient Longman.

Anirudh Krishna, Mahesh Kapila, Sharad Pathak, Mahendra Porwal, Kiranpal Singh, and Veerpal Singh. 2004. "Falling Into Poverty in Andhra Pradesh Villages: Why Poverty Avoidance Policies are Needed." *Economic and Political Weekly*, Bombay, India, July 17.

Anirudh Krishna. 2004. "Putting Social Capital to Work: Agency and Development." In Per Selle and Sanjeev Prakash (eds.), *Investigating Social Capital: Comparative Perspectives on Civil Society, Participation and Governance.* New Delhi: Sage Publications.

Anirudh Krishna, Mahesh Kapila, Mahendra Porwal, and Veerpal Singh. 2003. "Falling Into Poverty in a High-Growth State: Escaping Poverty and Becoming Poor in Twenty Gujarat Villages." *Economic and Political Weekly*, Bombay, India, December 6.

Anirudh Krishna. 2003. "Falling Into Poverty: The Other Side of Poverty Reduction." *Economic and Political Weekly*, Bombay, India, February 8.

Anirudh Krishna. 2003. "Global Truths and Local Realities: Traditional Institutions in a Modern World." In Surjit Singh and Varsha Joshi, eds., *Institutions and Social Change*. New Delhi: Rawat Publications.

Anirudh Krishna and Norman Uphoff. 2002. "Mapping And Measuring Social Capital," in Christian Grootaert and Thierry van Bastelaer, eds., *The Role of Social Capital in Development: An Empirical Assessment*. New York: Cambridge University Press.

Ruth Alsop, Anirudh Krishna, and Disa Sjoblom. 2001. "Inclusion and Local Elected Governments: The Panchayat Raj System in India," Social Development Papers No. 37, Environmentally and Socially Sustainable Development Network, The World Bank.

Anirudh Krishna. 2001. "Social Factors in Sustainable Land-Use Management." In Nico Heerink, Herman van Keulen & Marijke Kuiper (eds.) Economic Policy and Sustainable Land Use in LDC: Recent Advances in Quantitative Analysis for Developing Countries. Heidelberg and New York: Physica-Verlag.

Anirudh Krishna. 2000. "Creating and Harnessing Social Capital." In Partha Dasgupta and Ismail Serageldin, eds., *Social Capital: A Multifaceted Perspective*. Washington, DC: The World Bank.

Anirudh Krishna and Elizabeth Shrader. 2000. "Cross-Cultural Measures of Social Capital: A Tool and Results from India and Panama." *Social Capital Initiative Working Paper No. 21*, Social Development Department, The World Bank, 2000. (Reprinted as "The Social Capital Assessment Tool: Design and Implementation" in Christian Grootaert and Thierry van Bastelaer, eds., *Understanding and Measuring Social Capital: A Multidisciplinary Tool for Practitioners.* Washington, DC: The World Bank, 2002.)

Anirudh Krishna. 1999. "Large-Scale Government Programmes: Watershed Development in Rajasthan, India." In Fiona Hinchcliffe, John Thompson, Jules Pretty, Irene Guijt, and Parmesh Shah, eds., Fertile Ground: The Impacts of Participatory Watershed Management. London, UK: Intermediate Technology Publications.

Anirudh Krishna and T.P. Ramarao. 1995. "Computerization of Land Records." In B.N. Yugandhar and P.S. Datta, eds., *Land Reforms in India, Volume 2*. New Delhi: Sage Publications.

#### Book Reviews

Will AI Slay the Poverty Dragon? Review of Fourth Revolution and the Bottom Four Billion. By Nir Kshetri. Current History, January 2024.

Why Representation Matters: The Meaning of Ethnic Quotas in Rural India. By Simon Chauchard. Perspectives in Politics, 16 (1), 2018.

Should Rich Nations Help the Poor? By David Hulme, Journal of Human Development and Capabilities, 18 (4), 2017.

"Talking Truth to Power." Review of Geek Heresy: Rescuing Social Change from the Cult of Technology by Kentaro Toyama (Public Affairs, 2015), Information Technologies and International Development, 11 (3): 71-3, 2015

"Rich Financial Lives of Poor People." Review of *Portfolios of the Poor: How the World's Pooor Live on \$2 a Day*, by Daryl Collins, Jonathan Morduch, Stuart Rutherford, and Orlando Ruthven (Princeton University Press, 2009), *Science*, 326 (5960), 18 December 2009, 1634-5.

The Dubious Link: Civic Engagement and Democratization, by Ariel C. Armony (Stanford University Press, 2004), Perspectives in Politics, 3 (2), June 2005, 390-92.

Globalization and Nationalism: The Changing Balance in India's Economic Policy, 1950-2000, by Baldev Raj Nayar (Sage Publications, 2001), Journal of Commonwealth and Comparative Politics, 40 (1), March 2002, 112-13.

#### Reviewer

National Science Foundation, Social Science Research Council, European Economic and Social Research Council, DFID/UK, Netherlands Council for Scientific Research, Cambridge University Press, Columbia University Press, Indiana University Press, Duke University Press, Oxford University Press, Routledge, Rowman and Littlefield, Agriculture, American Journal of Political Science, American Political Science Review, American Sociological Review, Economy and Society, Ecosystems and Environment Journal, Asian Survey, Comparative Politics, Comparative Political Studies, Commonwealth and Comparative Politics, Development and Change, European Journal of Political Research, Journal of Asian Studies, Journal of Development Studies, Journal of Peasant Studies, Journal of Politics, Journal of Poverty, Oxford Development Studies, Plos ONE, Public Administration and Development, Public Administration Review, Quarterly Review of Economics and Finance, Review of Development Economics, Review of Income and Wealth, Science, Social Science Quarterly, Theory and Society, World Development, World Politics.

## Invited Lectures, Conferences, and Seminars (last ten years)

December 12, 2023. Policy Planning Commission, government of India. Presentation on ladders of opportunity.

June 5-6, 2023. TEDx Gateway, Mumbai. Talk on Talent Ladders.

April 23, 2023. "Poverty in Motion." King Center for Global Development, Stanford University.

December 15, 2022. "Tracking the geography of opportunity: a methodology and results from Bihar and Delhi." Center for Policy Research, New Delhi.

October 5-7, 2022. WIDER Development Conference on Reducing Inequality organized in partnership with Universidad de los Andes (UNIANDES), Bogotá.

March 2, 2022. "Understanding Poverty Dynamics and the Impacts of the Pandemic." Envisioning India Series, George Washington University, Washington DC.

February 25-26, 2022. Roundtable on Revisiting the History of Civil Service Reforms from Capacity Building Lens, Capacity Building Commission (CBC) in association with the Ashank Desai Centre for Leadership and Organisational Development (ADCLOD), IIM-Ahmedabad.

January 7, 2022. "How a Nation Can Best Nurture its Talent Potential." TEDx talk, available at <a href="https://fb.watch/dWw1XJ3A23/">https://fb.watch/dWw1XJ3A23/</a>

December 20, 2021. "Developing Champions by Building Talent Ladders." Presentation to Senior Management Committee, *Niti Aayog* (policy planning commission), Government of India.

December 19, 2021. M.S. Gore Centenary Conference on "Exploring Grassroots Governance in India," Tata Institute of Social Sciences, Mumbai. Keynote Address.

October 20, 2021. European Development Economics Network (EUDN), Amsterdam. Presentation on data challenges in monitoring poverty in developing countries, particularly in light of the Covid-19 pandemic.

September 21, 2021. Panel discussion on Staying Poor in a Rich City. Bangalore International Centre.

August 13, 2021. The Nudge Forum. Equitable Cities. Panelist: Innovation for Informal Settlements.

June 3, 2021. "Mobility and Excellence." Korea University Institute for Political Research, Center for the Study of Inequality and Democracy, Global Seminar Series.

April 27, 2021. Social Mobility and Talent Ladders. HDRO Seminar, United Nations Development Programme.

November 2, 2020. Examining the Effects of Covid-19 on Health and Wellbeing in Bengaluru and Patna Slums. Webinar, International Growth Center, London School of Economics

August 21, 2020: 2<sup>nd</sup> Prof. V.S. Vyas Oration, Center for Microfinance – Poverty after the Pandemic: Building Back Better.

June 16, 2020: United Nations Department of Economic and Social Affairs. Webinar on Economic Security, Poverty Dynamics, and Covid-19 (featured presenter).

March 16, 2020. Social Mobility and Career Ladders. Human Development Report Office, United Nations Development Programme, New York.

February 13-14, 2020. Poverty Dynamics, Social Mobility, and Assistance to Developing Countries. School of Transnational Governance, European University Institute, Florence, Italy.

September 5-6, 2019. UNU-WIDER, Helsinki. Workshop on Social Mobility in Developing Countries (co-organizer and paper presenter).

May 29, 2019. United Nations Development Programme, New York. Experts meeting on Why Inequality Matters in the 21<sup>st</sup> Century (invited speaker)

April 12, 2019. Center for Policy Research, New Delhi. Conference on governance reforms in India (invited speaker and contributor)

February 12, 2019. Graduate School of Public Administration, Seoul National University, Republic of Korea: talk on escaping poverty and becoming poor.

Book discussion: *The Broken Ladder: The Paradox and Potential of India's One-Billion.* July 3, 2019: Niti Aayog, Government of India; November 8, 2018: Yale University (South Asia Program); July 17, 2018: Indian School of Business, Hyderabad; May 1, 2018: University of Virginia; March 14, 2018: South Asia Program, London School of Economics; February 1, 2018: Indian Institute of Management, Bangalore; January 29, 2018: Indian Institute of Management, Indore; November 3, 2017: Munk Center, University of Toronto; November 1, 2017: Sanford School, Duke University; July 20, 2017: Delhi School of Economics, Delhi University; July 18: Ashoka University; April 18: Centre for Policy Research, New Delhi; April 28: MIDS Chennai; May 1: Bangalore International Center.

November 26, 2018. Department of Urban Studies and Planning, MIT: Talk on slums and economic mobility.

September 12-13, 2018. Expert group meeting on New Research on Inequality and its Impacts, *World Social Situation 2019*. Department of Economic and Social Affairs, United Nations, New York.

August 1, 2018. Centre for Policy Research, New Delhi. "A continuum of slums with varying policy needs and little upward mobility: a seven-year investigation in Bengaluru, Jaipur and Patna."

July 23, 2018. Omidyar Network and Indian Institute of Management, Bangalore: Workshop on "One way ticket - New migrants, emerging settlements and stickiness with Bengaluru Slums." Lead presenter. (reported on the front page of Times of India, The Hindu, Forbes India, and other media outlets)

February 3, 2018. Social Venture Partners, Bangalore. "Three Conceptions of Development."

November 30, 2017. Blum Center for Global Poverty Alleviation and Sustainable Development, University of California, Santa Barbara. Public lecture.

May 4-5, 2017. Manchester-Duke Workshop: The Architecture of Inequality. Manchester, UK (invited presenter and co-chair)

April 5, 2017. Duke Surgery Grand Rounds. Invited lecture on health and poverty.

March 31-April 1, 2017. Workshop on Interdisciplinary Approaches to Inequality and Mobility. Samuel Dubois Cook Center on Social Equity, Duke University. Paper presenter.

November 10-11, 2016. Conference on Multidimensional Poverty, Stockholm, Sweden, organized by the Swedish International Development Agency and the World Bank (invited presenter)

October 27, 2016. University of Connecticut, Storrs. Invited talk.

October 5, 2016. 2<sup>nd</sup> India Conference: Inclusive and Sustainable Growth in India. Institute of International Economics, George Washington University and National Institute of Public Finance and Policy, India (invited presenter).

July 14-15, 2016. International Growth Centre, New Delhi. Paper presenter

June 29, 2016. Indian Institute of Management, Bangalore. Invited lecture on social mobility

May 19-20, 2016. Second Duke Workshop on Urban Poverty in Developing Countries. Coorganizer and paper presenter

November 30, 2015. Brookings Institution, Washington DC. International Symposium on Housing Inclusion and Social Equity (panel chair, moderator and discussant)

November 23, 2015. Keynote lecture. Workshop on Researching Social Mobility in the Global South: Concepts, Measures and Understandings. University of Manchester, UK.

October 29, 2015. PPEL Lecture. University of Richmond

October 21-22, 2015. McGill University and Concordia University, Montreal, Canada (invited lectures on urban poverty and poverty dynamics)

April 29-30, 2015. UC Berkeley. Compensating for the State's Inability to See: Methodological Insights from Urban Political Research (paper presenter)

February 12, 2015. School of Social Work, Delhi University, India. (invited lecture)

December 4-5, 2014. Workshop on Urban Poverty in Developing Countries, Duke University (co-organizer and paper presenter)

November 18, 2014. International Education Week, Central Piedmont Community College, Charlotte, NC (keynote speech and book signing)

November 7, 2014. Center for the Advanced Study of India, University of Pennsylvania (invited lecture)

October 11-12, 2014. Dean's Seminar on Urbanization. Department of Arts and Sciences, Duke University (plenary speaker)

October 9, 2014. Brown University. Brown-India Initiative and South Asia Studies (invited lecture)

May 15-17, 2014, IFPRI 2020 Conference on "Building Resilience for Food and Nutrition Security," Addis Ababa, Ethiopia (invited speaker and panel chair)

February 28, 2014. Centre for the Study of Law and Governance, Jawaharlal Nehru University, New Delhi, India (invited lecture)

January 15, 2014. Institute of Human Development, New Delhi, India (invited lecture)

November 20, 2013. World View Symposium for Community College Educators: Global Issues, Global Solutions. University of North Carolina, Chapel Hill (plenary presentation)

November 14-15, 2013. International workshop on Rethinking Environmental Futures in Asia and the Pacific, Australian National University, Canberra (keynote speaker)

September 8-10, 2013. International workshop on "Living in Low-income Urban Settlements in an Era of Climate Change," organized by the Brooks World Poverty Institute and the University of Manchester, UK (Plenary speaker and paper presenter)

August 2-3, 2013. International Conference on Law, Governance and Development (Right to Welfare), Azim Premji University, Bangalore, India (invited speaker)

March 14, 2013. Inaugural Duke-IIMU Research Presentation Series, Indian Institute of Management, Udaipur, India: "Which India will you be in? Depends on where you were born."

December 12-13, 2012. International Policy Workshop on Managing Risk for Development in preparation for World Development Report 2014. World Bank and German Federal Ministry for Economic Cooperation and Development, Berlin, Germany (invited speaker)

October 10, 2012. The Manchester Poverty Masterclass: Stages of Progress and Poverty Dynamics, Brooks World Poverty Institute and the Institute for Development Policy and Management, University of Manchester, UK.

August 3, 2012. Keynote Speaker. China India Insights Conference, Yale School of Management, Yale University, New Haven

July 18, 2012. Aspiring Minds and Gurgaon Chamber of Commerce, India (public lecture)

July 6-8, 2012. Workshop on New Spatialities and Labour, Indira Gandhi Institute for Development Research and Institute for Human Development, Mumbai (invited speaker)

May 22, 2012. KfW Entwicklungsbank, Frankfurt, Germany (invited lecture)

May 21, 2012. Center for Area Studies, Freie Universitat, Berlin, Germany (invited lecture)

May 15, 2012. Center for Peace and Conflict Research, Uppsala, Sweden (invited lecture)

March 22-23, 2012. Conference on Migration, Informal Work, and Urban Poverty: Interdisciplinary Exploration, Institute for Social and Economic Change (ISEC), Bangalore, (invited speaker)

January 26, 2012. Uppsala Forum, Uppsala University, Sweden (public lecture)

October 26, 2011. Oak Institute Annual Lecture, Colby College, Waterville, ME (invited lecture)

September 22, 2011. Gillings School of Public Health, University of North Carolina, Chapel Hill, NC (invited lecture)

September 8, 2011. Poverty Reduction, Equity and Growth Network (PEGNet) Conference on Poor Countries, Poor People and the New Global Players, German Institute of Global and Area Studies, Hamburg, Germany (invited speaker)

May 26, 2011. Swedish International Development Agency (SIDA), Stockholm, Sweden (invited presentation)

May 16-28, 2011. Visiting Professor, Quality of Governance Institute, Gothenburg University, Sweden (two invited talks)

May 10, 2011. Keynote speaker. Equity in Health: Ensuring Access, Increasing Use. CORE Group Meeting, Baltimore, MD.

April 29-May 1, 2011. Urban India Conference, Yale University (invited speaker)

March 25-26, 2011. Workshop on Social Transformation: Karmbhoomi, Sangharsh aur Sambhavnaayein, Seva Mandir, Udaipur, India (invited speaker)

January 7, 2011. Workshop on Panchayat Extension to Scheduled Areas, Ministry of Rural Development, Government of India and Mohanlal Sukhadia University, Udaipur, India (invited speaker)

October 11-12, 2010. Overseas Development Institute, London, UK, Roundtable on asset inheritance and intergenerational transmission of poverty (paper presenter)

September 20, 2010. National Public Radio (invited to comment on the new census numbers that show rising poverty in the United States)

September 8-10, 2010. Chronic Poverty Research Centre, Manchester, UK, conference on "Ten Years of War against Poverty: What Have We Learned Since 2000 and What Should We Do, 2010-2020?" (paper presenter and panelist)

August 3, 2010. Institute of Rural Management, Anand, India (invited lecture)

July 20, 2010. Mohanlal Sukhadia University, Udaipur, India (invited lecture)

June 4-5, 2010: Johan Skytte International Development Manuscript Workshop, Uppsala University, Sweden (invited discussant).

June 1, 2010: Institute of Development Studies, University of Sussex, UK (invited lecture)

April 30, 2010. Invited discussant. Social Impact Evaluation Workshop Columbia University and International Initiative for Impact Evaluation, New York

April 28, 2010. Jessica Roy Memorial Lecture, University of California, Santa Cruz.

March 9, 2010. Invited lecture: United States Agency for International Development (USAID), Washington DC.

March 8, 2010. Invited expert: "Poor People and Democracy." Workshop on Making USAID Democratic Governance Programs more Responsive to the Poor, United States Agency for International Development (USAID), Washington DC.

March 5, 2010. United Nations Challenge Program on Climate Change, Agriculture, and Food Security, Washington, DC.

### University and Department Responsibilities

Faculty Director: Summer School for Future Leaders in International Development, and India Summer Internships Program. 2013-2019

Duke Social Innovation and Entrepreneurship Faculty Fellow, 2016-2018.

Co-Organizer: Workshop on Urban Poverty in Developing Countries, Duke University, December 4-5, 2014. Second workshop: May 18-20, 2016

Co-Convener: Social Mobility Working Group, Duke University

Associate Dean for International Academic Programs, Sanford School of Public Policy, 2010-2015. Built three new programs, each open to all Duke faculty and students:

- (A) Academic Collaboration with the Indian Institute of Management, Udaipur, signed by the Sanford School in July 2012 on behalf of Duke University. Also involving ten NGOs, and supported by a budget of more than \$500,000, shared equally on both sides, this program promotes collaborative research projects; establishes a Summer School for future leaders in international development; and sponsors faculty and student exchanges. Five collaborative research projects are ongoing. The inaugural Summer School ran with 31 students from May through July 2014 in Udaipur. A smaller pilot program had been conducted in the summer of 2013.
- (B) India Summer Internships program, commenced in 2012 with nine students (graduate and undergraduate) and expanded in 2013 to 18 students, with connections to more than

20 civil society and other socially-oriented organizations in India, helps establish a framework for future programs in India.

(C) Duke Semester in India: a study abroad program for undergraduates focused on development, the environment, and public health.

Faculty representative: Staff-Faculty relations committee, Sanford School, 2014-2015

Faculty Director, India: Global Semester Abroad (India/China), 2009-2013

Member, Title VI/ Mellon Center Partnership Committee, 2012-2014

Member, Steering Committee, Duke Human Rights Center, 2006-2010

Co-Organizer: Conference on Poverty, Inequality, and the State. North Carolina Center for South Asia Studies, January 11-13, 2008.

Helped bid for and later organize the training program for officials of the Indian Administrative Service (2007-2009)

Co-Organizer (with Karen Remmer): Workshop on Poverty and Democracy, Duke University, February 17-18, 2006.

Member, International Affairs Committee, Duke University, 2000-2001 and 2001-2002

Co-Convener (with Nan Lin), Multi-Disciplinary Social Capital Workshop, Duke University, 2002-2003

Co-Convener (with Robert Keohane): Globalization and Equity Seminar series, Duke University, 2001-02

Ph.D. Admissions Committee, Sanford Institute for Public Policy, Duke University, 2006, 2008

MPP Admissions Committee, Sanford Institute for Public Policy, Duke University, 2001, 2002, 2003, 2005, 2009

Member Faculty Search Committees, Sanford Institute for Public Policy, Duke University, 2001, 2002, 2005, 2009, 2012

Member: Fulbright review board, 2002 and 2003

Faculty Associate and mentor, Hart Fellowship Program, 2003, 2004, and 2005, 2008, 2009, 2010, 2015

Member, Review Committee, Undergraduate Overseas Summer Research Awards, 2005

### External Academic Engagements and Policy Advice

Member, Award A.K. Coomaraswamy Prize Committee, Association for Asian Studies, 2020-22.

January – October 2020. Visiting Scholar, United Nations Development Program, Human Development Report Office, New York.

September 2018 – current: Non-resident Senior Research Fellow, United Nations University – WIDER, Helsinki

September 2018 – 2023: Department Assessor, London School of Economics, Department of International Development.

August 2018 – current: Member, Advisory Council, Centre for Public Policy, Indian Institute of Management Bangalore

Editorial Board, Review of Development and Change, 2017-

Journal of Development Studies, 2016-

Journal of South Asian Development, 2015-

Public Administration and Development, 2002-2005

Invited expert – United Nations High-level Political Forum for Sustainable Development. UN Department of Economic and Social Affairs (DESA), April-July 2020.

External Reviewer – London School of Economics' Department of International Development. March 2018.

Organizer: First national conference of social mobility promoting organizations (SMPOs) in India. IIM Indore, July 20-21, 2018.

World Bank, PROFOR Forest-Poverty Program Reference Group, Member (2016-2018)

January 2014 – December 2016: Honorary Professorial Research Fellow, School of Environment, Education, and Development, University of Manchester

Swedish International Development Agency (2016): Policy advice on poverty reduction aid of the future

International Food Policy Research Institute (2010-2015): Member of External Advisory Council for Evaluating the Impacts of Agricultural Development Programming on Gender Inequalities, Asset Disparities, and Rural Livelihoods.

Janalakshmi, Bangalore, India (2009-2017): Collaborative research on slum communities, migration, and microfinance.

BRAC, Bangladesh (2008-12). Collaborative evaluation of BRAC's program to asset the poorest people through transferring productive assets and imparting skills.

Adviser, International Livestock Research Institute and Government of Kenya, Livelihood Zones and Poverty Reduction, 2005-07

SEWA, India (2005): Identifying the Poorest and Targeting Development Benefits More Effectively

Adviser, United Nations Poverty Reduction Network, 2004-06

Seva Mandir, India (2004-06): Social Capital, Poverty and Democracy at the Grassroots: An examination of 71 village communities in Rajasthan.

External Reviewer: University of Georgia, Global Project on Nature, Wealth and Power, August 2004.

External Reviewer, Center for the Future State, Institute of Development Studies at the University of Sussex, UK, 2004

DISHA, Andhra Pradesh, India (2004): Poverty and Democracy: An examination in 35 communities.

CARE and CIP, Peru (2003-04): Examining Poverty Dynamics in 40 Communities of Cajamarca and Puno.

Makerere University, Uganda (2003-04): Household Poverty Dynamics in 36 Communities of Central and Western regions.

SARATHI, Gujarat, India (2003): Household Poverty Dynamics in 36 Communities.

World Bank (2003): Understanding and Measuring Empowerment

CHITRA, Rajasthan, India (2002): Developing and Testing the Stages-of-Progress Methodology in 35 villages of Rajasthan.

ILRI, Kenya (2002): Household Poverty Dynamics in 20 Communities of Western Kenya Member, Selection Committee, American Institute for Indian Studies, 2001-2003.

World Bank (2001-2002): Analyzing Partnerships between Local Governments and Civil Society Organizations

World Bank (2000): Organizing in Support of Community Driven Development

World Bank (1999): Investigating Inclusion and Exclusion with respect to Democratic Decentralization in India: Report on Research Conducted in Two States

UNDP (1998-1999): Civil Society Organizations And Development: Identifying and Learning From Best Practices

FAO (1999): Livelihood Security and Local Institutions: Learning From Experience

World Bank (1998-1999): Developing and Testing Instruments for Assessing Social Capital

World Bank (1994-1995): Public Sector Management and Participation

Save the Children Fund, U.K. (1991): Assisting Community Initiatives for Livelihood Security in Arid and Semi-Arid Regions.

#### Classes taught

Summer School for Future Leaders in International Development (a collaboration between Duke University and IIM Udaipur, India): intensive class on development theories, concepts and field research methods

Understanding and Assisting Development in the Third World (seminar class for advanced undergraduates and graduate students)

Political Analysis for Public Policy (A core class for undergraduates majoring in public policy)

Visualizing Social Mobility – co-taught with Angela Zoss, Duke Library and Sarah Nolan, PhD student (Bass Connections-supported innovative learning combining insights about social mobility with the tolos of data visualization and web site design)

Communities and Development: Social Capital, Entrepreneurship and External Assistance

## Globalization and Poverty

# Independent Study Classes

Social Mobility and Access; Governance and Development; Political Organization and Political Participation; Public Administration and Human Rights; Routes to Collective Action; Globalization, Technology and Equity; Social Capital in Developing Countries; Globalization and Equal Opportunity; Poverty in North Carolina; Technology for Local Institutional Development

## Thesis Advising

PhD students (principal advisor)

Emily Rains, Sarah Nolan, Maria Nagawa, Aditya Unnikrishna

Seniors Honors Theses

Dumisile Mtambo (Social Mobility Promoting Organizations in Sub-Saharan Africa); Lekha Raghavendran (Social Mobility in Indian City Slums); Sarah Nolan (First-generation collegegoers: What factors matter?); Adam Hosmer-Henner (Poverty Reduction in Ecuador and Peru); Suzanne Garland (Microcredit, Gender Relations, and Development).

### Masters Theses

Sureshkumar Nair (Developing a Governance Model for India's Cities); S. Selvakumar (Developing Slum Policies for Karnataka, India: Learning from Experiences in the Developing World); Alok Dubey (Improving the Quality of Education in Tribal Areas of India); My-Anh Ha (Social Protection and Pro-Poor Growth in Vietnam); Abhijit Ghorpade (Agrarian Crisis in Vidharba Region of Maharashtra, India); Sharique Mashhadi (Intergenerational Social Mobility); Nathan Woiwode (Microfinance in East Kalimantan, Indonesia); Daniel B. Kobayashi (Getting more from Microfinance in Uganda); Sean Smith (Maximizing the Economic Gains of Expanding Access to Electricity in Tanzania); Curtis Palmer (Missing Connections in Nepal: Bridging the Gap between Customers, Companies and the National Regulator); Dana Vettel (How Should the United Nations World Food Program Partner with the Agriculture Industry?); Meri Poghosyan (Impact Evaluation of BRAC's Challenging the Frontiers of Poverty Reduction); Anita Sharma (Micro Health Insurance Programs in India); Franco Gamboa Rocabado (Civil Society and Educational Reform in Bolivia); Kenichi Fukumura (Community Organization and Community Forestry in Three Countries); Idrissa Kamara (Child Soldiers in West Africa); Hasmik Minasyan (Civil Society in Armenia); David Swanson (Healthcare in Ghana); Nicolas Perez (Migration and Development); Karen O'Donnell (Children and War in Africa); Ford O'Connell (Oil and War in the Middle East); Jennifer Nevin (Policy Analysis Capacity Building in Serbia), Boyu Ao (Decentralization and Poverty Reduction in China); Felicity Kolp (Operationalizing a Human Rights Strategy); Elaine Lai (Conservation and Poverty Reduction in Mexico); Marissa Archibald (Poverty Reduction in Trinidad); Jessica Campbell (Community-based Natural Resources Management in the Caribbean); Leandra Marin (Labor Organizing in Brazil and Argentina); Sridhar Sourirajan (Globalization and Offshore Outsourcing)

## External PhD Committees

Sandeep Kandikuppa (UNC, Chapel Hill); Sudhir Pratap Singh (Management Development Institute, India); Ramesh Sunam (Australian National University, Canberra); Sowmya Dhanaraj (Indira Gandhi Institute for Development Research, Mumbai); Johanna Soderstrom (Uppsala University, Sweden); Sonia Doloutskaya (Nicholas School of the Environment, Duke

University); Aurelie Brunie (Department of Planning, University of North Carolina, Chapel Hill).

#### **Research Grants**

International Growth Center, 2023. Local States and Climate Change Preparedness. Applied for in collaboration with BRAC Institute for Governance and Development, Bangladesh.

The Convergence Foundation, India. 2023. Grant to support one full-time research assistant.

International Growth Center, 2020 (£18,414). Understanding the Impact of Covid-19 in Patna and Bengaluru's Slums.

Omidyar Network, 2017 (\$231,481). A proposal for semi-automatic identification and classification of urban slum settlements in a typology, with an accompanying study of housing markets in slums – with Nikhil Kaza (UNC Planning), Raju Vatsavai (NCSU, Geospatial analysis), and Erik Wibbels (Duke Political Science)

Digital Globe Foundation, 2017. Grant of satellite images of Patna and Jaipur (with Nikhil Kaza, Raju Vatsavai, and Erik Wibbels)

Bass Connections EHD Grant – 2017-2018: Visualizing Social Mobility

Bass Connections EHD Grant – 2014, 2015, 2016 (awarded \$110,000): "Where are the 'real' slums of Bangalore?"

International Growth Center, London, UK, 2015 (awarded \$135,615): "Social networks, property rights, and public services in the slums of Patna and Jaipur." (with Erik Wibbels)

NSF 2015. (unsuccessful application). "Social Networks, Property Rights and Public Services in Slums" (with Erik Wibbels)

Overseas Development Institute and Chronic Poverty Research Centre, UK (£10,025): "Caste, inheritance patterns, and intergenerational transmission of poverty in rural North India."

Ford Foundation (\$89,900): "Can We Bank Upon Social Capital?"

Food and Agriculture Organization of the United Nations (\$144,000; with Patricia Kristjanson): "Escaping Poverty and Becoming Poor in 40 communities of Peru"

Glaxo Smithkline Foundation (\$74,500): "Escaping Poverty and Becoming Poor in India and Uganda."

Common Fund, Duke University (\$11,200; with Nan Lin).

United States Agency for International Development (\$55,000; with Patricia Kristjanson): "Escaping Poverty and Becoming Poor in 20 communities of Western Kenya"

Sanford Institute, Duke University, Multi-Sector Public Policy Program (\$11,500); New Research Initiatives in the International Field, Office of the Vice Provost for International Affairs, Duke University, November 2001 (\$4,500); Arts and Sciences Research Council, Duke University (\$3,700); and Trent Memorial Foundation Fellowship, Duke University, May 2001 (\$3,000) – all related to "Tracking Household Poverty Dynamics"

World Bank Social Capital Initiative, 1998 (\$65,000; with Norman Uphoff): "Identifying and Assessing Social Capital in Rajasthan, India"

#### Honors and Awards

Ananda K. Coomaraswamy Award 2019, given by the Association for Asian Studies to "a distinguished work of scholarship in South Asian Studies that promises to define or redefine the understanding of whole subject areas"

Dudley Seers Memorial Prize 2015 for "Stuck in Place: Investigating Social Mobility in 14 Bangalore Slums." *Journal of Development Studies*, 49 (7), 2014.

January 2014-December 2016: Honorary Professorial Fellow, School of Environment and Development, University of Manchester, UK

Honorary Doctorate, Uppsala University, Sweden, January 2012.

Thomas Langford Lectureship Award, 2011, Duke University.

Olaf Palme Visiting Professorship, 2007-08, Swedish Research Council.

Inaugural Krishna Raj Memorial Award and Public Lecture, Economic and Political Weekly Foundation, 2007

Dudley Seers Memorial Prize 2006 for "Why Growth is not Enough: Household Poverty Dynamics in Northeast Gujarat, India," *Journal of Development Studies*, 41 (7), 2005.

Best Article Award: American Political Science Association, Comparative Democratization Section, for "Enhancing Political Participation in Democracies: What is the Role of Social Capital?" *Comparative Political Studies*, 35 (4), 2002.

Janice N. and Milton J. Esman Award for Distinguished Scholarship, Department of Government, Cornell University, 2000.

Olin Presidential Fellows, Cornell University, 1996-2000, award made in university-wide competition to four outstanding first-year graduate students each year by the Cornell Graduate School.

Hubert H. Humphrey Fellowship, a competitive Fulbright program exchange award for midcareer professionals, 1993-1994.

Kennedy Fellowship, Harvard University, 1980-1981.

President's Gold Medal in Economics, Delhi University, India, 1978-1980, for ranking first in graduating master's class.

## **Op-eds and media references** (last ten years)

February 23, 2023. "Are Indian Kids Getting Enough Opportunities to Realize their Capabilities?" (with Sujeet Kumar). *The Wire*. https://thewire.in/education/are-indian-kidsgetting-enough-opportunities-to-realise-their-capabilities.

October 29, 2020, "India needs policy intervention to uplift its urban poor" (with Emily Rains) *Livemint*. https://www.livemint.com/opinion/online-views/india-needs-policy-intervention-to-uplift-its-urban-poor/amp-11603897754701.html.

October 22, 2020, "The virus has exposed India's Dickensian Urbanization" (with Emily Rains). *Livemint*. https://www.livemint.com/opinion/online-views/the-virus-has-exposed-india-s-dickensian-urbanization-11603292651315.html

September 23, 2020. "A localized approach to address the economic fallout of Covid-19" (with Emily Rains and Harlan Downs-Tepper). *Livemint*.

https://www.livemint.com/opinion/online-views/a-localized-approach-to-address-the-economic-fallout-of-covid-19-11600872415336.html

August 26, 2020. "Slum dwellers bear the brunt of our pandemic situation" (with Harlan Downs-Tepper). *Livemint*. https://www.livemint.com/opinion/online-views/slum-dwellers-face-the-brunt-of-our-pandemic-situation-11598451985455.html

August 2018. Broken Ladder – Anirudh Krishna workshop report.

http://blogs.lse.ac.uk/southasia/2018/07/27/broken-ladder-anirudh-krishna-workshop-report/

July 23, 2018. "Very little upward mobility in slums: study." The Hindu.

https://www.thehindu.com/news/cities/bangalore/very-little-upward-mobility-in-slums-study/article24496406.ece

July 23, 2018. Study reveals 2,000 slums in Bengaluru, government lists only 597. The Times of India. https://timesofindia.indiatimes.com/city/bengaluru/study-reveals-2000-slums-in-bengaluru-govt-lists-only-597/articleshow/65096665.cms

December 14, 2017. Why millennials are facing the scariest financial future of any generation since the Great Depression, by Michael Hobbes, Huffington Post Online. highline.huffingtonpost.com/articles/en/poor-millennials/

November 15, 2017. Is India's middle class actually poor, by Soutik Biswas. BBC News. www.bbc.com/news/world-asia-india-41264072

Op-ed. July 31, 2017. "At the heart of rural discontent is the creeping crisis in Indian agriculture" – TheWire.in

Op-ed. July 25, 2017. "The feminization of Indian agriculture" - TheWire.in

July 16, 2017. Anilesh Mahajan – "Worms'-eye view" – Business Today

June 25, 2017. Bibek Debroy – "The great India development debate" – Sunday Standard and The New Indian Express

June 11, 2017. M. Rajivlochan – "No support, no information, no opportunities for the poor" – The Tribune

May 21, 2017. Ashwani Kumar – "Black and white" – Financial Express

May 4, 2017. B. Baskar – "Blame the 'broken ladder' for India not climbing" – Hindu Business Line

April 25, 2017. V. Johri – "Fixing the ladder of opportunity" – Business Standard

April 20, 2017. O. Ahmad – "Interview: People are being pulled out of poverty but not into prosperity" – TheWire.in

November 23, 2016. Mukul Kesavan – "Purging the Poor." The Telegraph

November 15, 2016. Gautam Bhan – "Note on Demonetization." Scroll.in

Op-ed. August 28, 2016. "Searching for Talent." Dainik Jagran (the largest circulating Hindi daily)

Op-ed. August 26, 2016. "Why India fares poorly at the Olympics – and in other fields." Durham Herald Sun.

August 18, 2016. Nyshka Chandran – "Why is India so bad at sport?" CNBC News

November 22, 2015. Amulya Gopalakrishnan – "Big-brother bias in government," Times of India

November 18, 2015. Kartikay Mehrotra – "Running for Office: You might need a toilet." Bloomberg

October 18, 2015. "How a new group will qualify for jobs is left to the calculations of vote-seeking politicians," Economic Times

October 14, 2015. Lucy Westcott – "Two Numbers: Spending Threshold for Extreme Poverty Increased to Half the Price of a New York Latte," Newsweek.

September 1, 2015. "Anti-reservation protests a result of less jobs: Expert," Economic Times

March 16, 2015. Carol Giacomo - "In Rural India, Hoping for Jobs and Education in a Growing Economy," New York Times.

December 22, 2014. Kartikay Mehrotra – "Maids Deemed Too Pure for Toilets Hinder 'Clean India' Push," Bloomberg.com

August 30, 2013. Rana Mitter – "The Tragedy of Liberation" – a comment, Guardian UK.

July 26, 2012. Simon Reid-Henry and Hans Lofgren – "Pharmaceutical companies putting health of world's poor at risk," Guardian UK.

November 19, 2010. Akash Kapur - "Understanding the Puzzling Nature of Poverty," New York Times. (Review of <u>One Illness Away: Why People become Poor and How they Escape Poverty</u>)

August 12, 2010. Tripti Lahiri – "Duke Professor's New Poverty Study," Wall Street Journal.

July 25, 2008. Randeep Ramesh – "Track and failed: the making of a sleeping Olympic giant," Guardian UK.

October 30, 2007. Anand Giridharadas - "In India, Poverty Inspires Technology Workers to Altruism," New York Times.

October 29, 2007. Shankar Vedantam – "One Thing We Can't Build Alone in Iraq," Washington Post

### **Prior Professional Experience**

(A) Indian Administrative Service, 1982-1996. Significant initiatives are mentioned briefly below.

<u>Director</u>, <u>Department of Watershed Development</u> (Rajasthan), 1991-1993: Set up the department in 1991 to deal with the scarcity of food, fodder and fuel-wood chronically faced in most rural areas. Helped set up over 100 Users Committees – elected community groups – which in collaboration with the department's technical staffs drew up and implemented comprehensive five-year plans for resource management and development. Over three years,

selected and supervised a multi-disciplinary staff of close to 2,000 full-time employees and an equal number of paraprofessionals, working in an area of 400,000 hectares, with annual funding worth \$18 million, provided jointly by the World Bank and the Government of India. This initiative received the Indian government's National Merit Certificate for Best Watershed Development.

Administrator, Municipal Corporation, Jaipur, 1990-1991: Helped organize citizen groups and technical specialists to formulate plans for participatory recycling and waste reduction and for mechanizing and privatizing waste disposal.

Collector and District Development Officer, Dungarpur, 1988-1990: Initiated processes that improved the speed and quality of response by the district administration to citizens' requests and public grievances. Formulated, managed and obtained funding for a community-based local project for afforesting wastelands spread out in small holdings operated by indigenous (tribal) people.

<u>Deputy Secretary, Industries Department</u>, 1987-1988: In collaboration with private sector export houses, set up the first Inland Container Freight Station in the state. Follow-up projects have been taken up since then.

Additional Commissioner, Command Area Development, 1986-1987: Led a project team that developed the first working model for computerizing land and tenancy records in India. The project was recognized as a national pilot project.

(B) Lecturer in Economics: Hindu College, Delhi University, August 1981 – June 1982